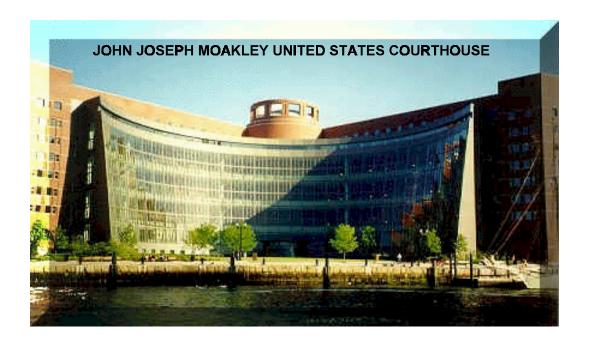
UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS



ANNUAL REPORT 2001

UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

2001 ANNUAL REPORT

UNITED STATES DISTRICT JUDGES

Hon. William G. Young Chief Judge

Hon. Joseph L. Tauro
Hon. Robert E. Keeton
Hon. Rya W. Zobel
Hon. Mark L. Wolf
Hon. Douglas P. Woodlock
Hon. Nathaniel M. Gorton
Hon. Richard G. Stearns
Hon. Reginald C. Lindsay
Hon. Patti B. Saris
Hon. Nancy Gertner
Hon. Michael A. Ponsor
Hon. George A. O'Toole, Jr.

SENIOR UNITED STATES DISTRICT JUDGES

Hon. Frank H. Freedman Hon. Walter Jay Skinner Hon. A. David Mazzone Hon. Edward F. Harrington Hon. Morris E. Lasker

UNITED STATES MAGISTRATE JUDGES

Hon. Robert B. Collings Chief Magistrate Judge

Hon. Lawrence P. Cohen Hon. Joyce London Alexander Hon. Marianne B. Bowler Hon. Charles B. Swartwood, III Hon. Kenneth P. Neiman Hon. Judith G. Dein

OFFICE OF THE CLERK

Tony Anastas Clerk of Court

William L. Ruane, Jr., Chief Deputy
Helen M. Costello, Projects Manager
Stuart Barer, Systems Manager
Virginia A. Hurley, Operations Manager
Francis B. Dello Russo, Administrative Manager
Nancy M. Cashman, Human Resources Manager
John Stuckenbruck, Division Manager, Springfield
Deborah F. Shattuck, Division Manager, Worcester



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MISSION STATEMENT

Clerk's Office United States District Court District of Massachusetts

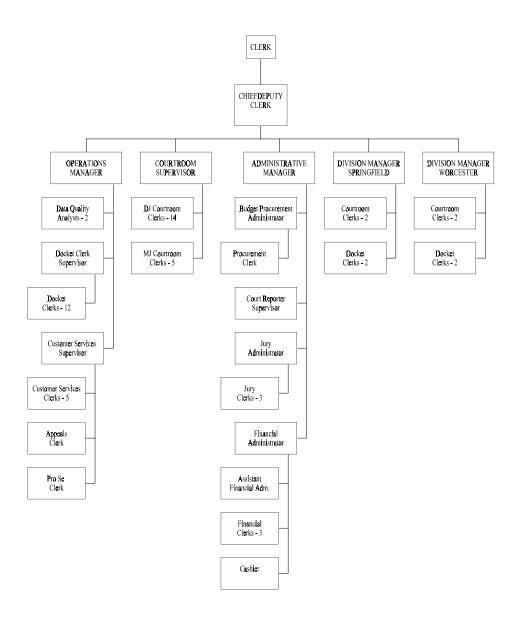
We, the staff of the Clerk's Office, are dedicated to providing access to an impartial forum for the resolution of disputes, through prompt service to the Court, members of the bar, and the public. Our services include processing civil and criminal cases, maintaining records, assembling juries, disseminating information on the activities of the Court, and providing other administrative and management support to the Court and its affiliates.

We take pride in working as a team, and pledge to:

- perform our duties with courtesy, respect, equality and fairness, in an accurate and knowledgeable fashion;
- maintain the highest standards of professionalism and ethics, in compliance with the governing rules and regulations;
- strive to improve the quality of our services, thereby promoting public trust and confidence in the judicial system; and
- encourage education and pursue training programs to adapt to the growing needs of the Court and the public, in response to technological advances and innovations in the law.



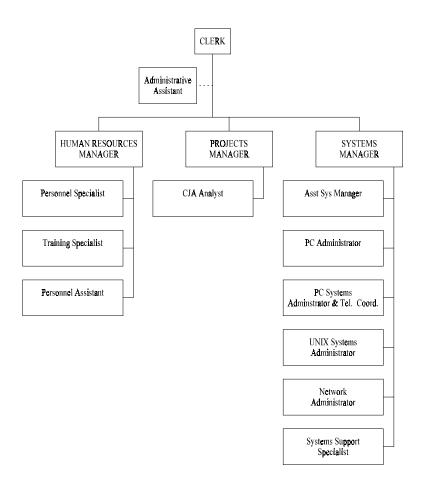
January 2002



ORGANIZATION CHART 1



January 2002



ORGANIZATION CHART 2



CHIEF UNITED STATES DISTRICT JUDGE WILLIAM G. YOUNG



his report chronicles the work of a small group of dedicated professionals. These pages detail the infrastructure without which true justice could not succeed. Here can be found the organization, the statistics, and the accomplishments of the court. Most important, here are the people who make it all work.

And the result? In 2001, the Court handled 570 criminal cases with 783 defendants and 2921 civil cases, including 44 criminal trials, 171 civil trials and 359 referrals for Alternative Dispute Resolution proceedings. The extraordinarily high quality of justice found in each and every one of these proceedings is the finest tribute that can be paid to the professionals whose work is detailed in these pages.

As Chief Judge of the United States District Court for the District of Massachusetts, and on behalf of all my colleagues, I acknowledge our profound appreciation for such professionalism.

TONY ANASTAS, CLERK OF COURT

The tragic events of September 11, 2001 still resonate with all of us. With two of the hijacked planes flying out of Boston, it was a fearful time for all the staff. Immediately after the attacks, Jersey barriers and Deputy Marshals armed with machine guns surrounded the building. Courthouse security continues to be of prime concern. The war against terrorism reminds us of the ideals that Americans share for justice and freedom. Those of us that work for the federal courts can be proud of our role in the pursuit of these ideals.



For the District of Massachusetts, the year 2001 was once again a year influenced by technology. The implementation of the Jury Management System and Interactive Voice Response System, the bar-coded records system, and two additional electronic courtrooms were major projects. These developments and other new policies, procedures, and programs kept us in the forefront among district courts.

The Clerk's Office remains committed to our mission of "providing access to an impartial forum for the resolution of disputes, through prompt service to the Court, members of the bar, and the public." My thanks and appreciation goes to the Judges and Clerk's Office staff. Through their effort, labor, and support, we were able to accomplish a great deal.



YEAR IN REVIEW

ny review of the work and progress made during 2001 is clouded by the events of September 11. Although day to day business was accomplished before and after that date as outlined below, nothing can compare to the shock and horror felt by all as the events of that day unfolded. The Court was closed almost immediately and security took on a whole new meaning after that. Our work, although very important, paled in comparison to the efforts of those at the World Trade Center, the Pentagon and a field in Pennsylvania where so many people lost their lives.

Some of our accomplishments, issues addressed and special occasions occurring in 2001 include the following:

- A Criminal Reference Guide was completed for use by docketing and court operations personnel.
- The CJA Attorney Assignment System was updated to make it more user friendly for the courtroom clerks assigned to magistrate judges. This system is used for the random selection of CJA panel attorneys to represent indigent defendants.
- The new Jury Management System (JMS) was implemented in Boston in January. The first jury pool using JMS was summonsed for empanelment and the first juror payment vouchers through JMS were also processed for payment in January. Through JMS, the jury department is able to manage the processes of summonsing, selecting, empaneling and compensating jurors more efficiently and expeditiously.
- ❖ An Interactive Voice Response System

(IVR) was installed to assist the jury department with notification and information for prospective and sitting jurors.

- A Bar Coded Records Management System using the Opus Realtime module was installed in the Boston office. This system is used to track the location of all case files located in Boston. Court personnel can also make on-line requests that files be pulled by staff for later pick up in the records area through this system.
- ❖ Automation enhanced security measures.
- A new recusal program, adapted from a program used in the Western District of Pennsylvania, was implemented to identify civil cases in which there may be a conflict for the assigned judge due to investments or other financial interests.
- ❖ JMS was implemented in the divisional offices along with the IVR system.
- A new Employee Recognition Policy was implemented after receipt of suggestions and input from the staff and the committee established to review the policy.
- The Case Management / Electronic Case Files (CM/ECF) project got underway with the establishment of an Organizing Committee and submission of the decision package requesting to be in one of the first waves for the national roll-out of District Court CM/ECF scheduled for 2002. The first meeting of the CM/ECF Organizing Committee, chaired by Judge Nancy Gertner, was held on July 26, 2001.



- Courtroom technology enhancements, included two more electronic courtrooms and videoconferencing availability in two additional courtrooms in Boston and one in Springfield.
- Due to space constraints for the Court of Appeals on the 2nd floor of the Moakley Courthouse, the computer training room was relocated to the Williams Building. The use of this facility will be shared by the District Court, Probation and Pretrial Services, and the Court of Appeals.
- A new telephone system was installed in the Worcester divisional office.
- Many changes were made to both our internal and external web sites during the year. In addition to updating existing references and links, new information was added to our web sites including: the Combined Local Rules in PDF format, access to the daily court calendar in both Boston and Worcester, an Archived Records Search program, CM/ECF information and links to the AO and other CM/ECF sites and an Attorney Handbook in PDF format.
- students from Worcester Polytechnic Institute (WPI) worked with Judge Gertner, systems staff and other court personnel to develop a database that would provide the judges and the Probation Office access to case and sentencing information. This project was still in the development phase at the end of the year.
- Employee Awards ceremonies along with employee appreciation luncheons were held in Boston, Worcester and Springfield.

The Customer Services section consisting of intake, records, appeals and pro se was established.

❖ In September, Clifton Gunderson, a certified public accounting firm contracted by the Administrative Office performed a routine financial audit of the office. One minor reportable finding was made.

September 11, 2001

- Security was heightened significantly. Barriers were installed surrounding the courthouse, access to the harbor park was eliminated, photo identification was required for entry into the building, in addition to screening of bags.
- New mail opening procedures were instituted because of the anthrax scare. All mail was opened in a closed room using a variety of protective gear, including gloves and face masks.
- The transit subsidy program was again implemented for court personnel using public transportation.
- A Public Notice was issued soliciting comments on the proposed revisions to the Local Rules for the U.S. Magistrate Judges. These rules were being finalized for publication in early 2002.
- The Criminal Judgment and Commitment (J&C) form was revised to provide for a separation of the Statement of Reasons portion from the main J & C. The format was changed to an Adobe Acrobat fillable form. New procedures for criminal judgments were implemented in October.



- New workstations were purchased and installed for the jury section, procurement assistant and personnel assistant.
- The consolidation of Human Resources begun in 2000 was expanded to include pretrial services in addition to the probation office.
- Human Resources began posting vacancy announcements on our public web site and accepting resumes/applications

electronically.

- Planning and designing of the new courthouse in Springfield continued throughout the year.
- A new tax lien computer for public access was installed by the IRS in the Clerk's Office in Boston, with a back-up computer on the 9th floor.



Managers from left to right: Tony Anastas, Clerk of Court, Ginny Hurley - Operations, Nancy Cashman - Human Resources, Frank Dello Russo - Administrative, Bill Ruane - Chief Deputy Clerk, John Stuckenbruck - Springfield Division, Debbie Shattuck - Worcester Division, Helen Costello - Projects and Stu Barer - Systems.



STATISTICAL OVERVIEW

FILINGS IN THE DISTRICT OF MASSACHUSETTS

♦ CRIMINAL CASE FILINGS

riminal case filings rose 4.3 percent from 546 in 2000 to 570 in 2001, the fourth consecutive year that this district experienced an increase. This increase was inconsistent with the national trend which recorded a decrease of less than 1 percent in 2001. Although many courts reported lower criminal filings than expected for the month of September, no clear connection to the events of September 11 has been made at this point.

Drug and fraud cases continued to represent the most significant part of the criminal caseload in this district. Although drug cases grew 5 percent nationally in 2001, they declined 4 percent in this district. Fraud cases remained stable this past year but fell 3 percent nationally. Categories of criminal cases that experienced an increase in filings in Massachusetts in 2001 were:

- # Immigration up 45 percent
- # Weapons & Firearms up 14 percent
- # Forgery & Counterfeiting up 6 percent

With a modest increase in criminal case filings in Massachusetts during 2001 came a healthy increase in criminal defendant filings. Defendant filings rose 21 percent from 649 in 2000 to 783 in 2001. Nationally, criminal defendant filings dipped slightly by eight-tenths of one percent in 2001.

♦ CIVIL CASE FILINGS

Civil case filings in the District of Massachusetts decreased 9 percent from 3212 in 2000 to 2921 in 2001. This decrease, although significantly higher, was consistent with the national trend which showed an overall decline of 3 percent. In this district, reductions occurred in every civil case category except Prisoner Petitions and Social Security filings. Social Security filings rose 17 percent and Prisoner Petitions increased 5 percent.

Even with a 4 percent decline, contract cases still represented the most often filed nature of suit in Massachusetts. A 73 percent drop in student loan recovery filings in this district was a major factor in the substantial decline of civil filings. The Department of Education has implemented new administrative measures that caused fewer student loan cases to be filed in the federal courts. A 21 percent decrease in forfeiture and penalty cases, most of them involving drug-related seizures of property, also contributed to the overall reduction.

Other categories of civil cases that experienced significant downturns in 2001 were:

- # Antitrust down 44 percent
- # Copyright, Patent & Trademark down 25 percent
- # Labor Suits down 22 percent

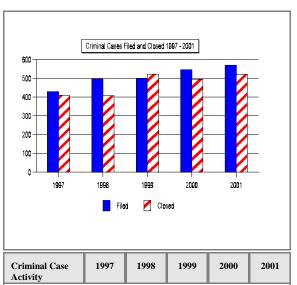
♦ TRIALS & HOURS OF IN-COURT ACTIVITY

The number of trials commenced increased 6 percent in the District of Massachusetts during 2001. With the increase in trials commenced, one



would naturally assume that days on trial and hours on trial would climb. That was not the case in the District of Massachusetts in 2001. The number of days on trial decreased 9 percent from 1123 in 2000 to 1024 in 2001 and the number of hours on trial fell 8 percent from 4,844 in 2000 to 4,422 in 2001. Total hours of in-court activity (trial hours + incourt hours on other matters) declined in 2001. The 11,437 hours spent in court in 2001 was 3 percent less than the 11,761 hours spent in 2000. Noteworthy increases included:

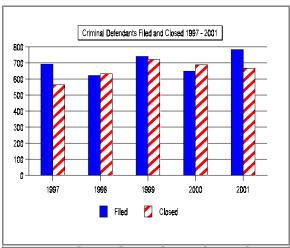
- # Number of days on other matters up 4 percent
- # Number of hours on other matters up 1 percent
- # 17 trials lasted 10 19 days
- # 4 trials lasted 20 or more days



Criminal Case Activity	1997	1998	1999	2000	2001
Filed	429	496	500	546	570
Closed	409	408	522	495	521

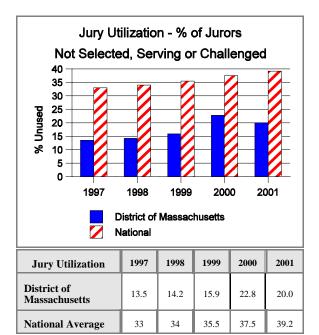
JURY UTILIZATION

During calendar year 2001, 20 percent of the petit jurors present for jury selection were not selected, serving or challenged (NSSC). This marked the first time in five years that the District of Massachusetts experienced improvement in jury utilization. However, despite the improvement, the District of Massachusetts dropped from number one to number two among all of the courts with six or more active Article III Judges in one location. The Eastern District of Missouri took the number one spot with 19.2 percent of jurors NSSC.

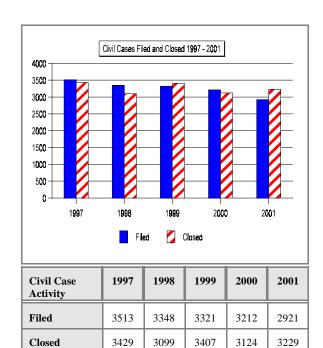


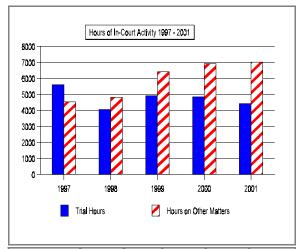
Criminal Defendants	1997	1998	1999	2000	2001
Filed	692	622	740	649	783
Closed	564	633	721	688	666





Source: Federal Court Management Statistics for 12 month period ended December 31





In-Court Activity	1997	1998	1999	2000	2001
Trial Hours	5599	4049	4907	4844	4422.5
Other Matters	4535	4811	6413	6917	7014.5
Total Hours	10134	8860	11320	11761	11437



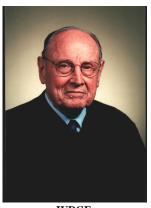
DISTRICT JUDGES



CHIEF JUDGE WILLIAM G. YOUNG



JUDGE JOSEPH L. TAURO



JUDGE ROBERT E. KEETON



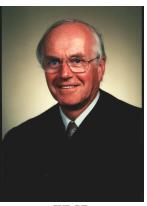
JUDGE RYA W. ZOBEL



JUDGE MARK L. WOLF



JUDGE DOUGLAS P. WOODLOCK

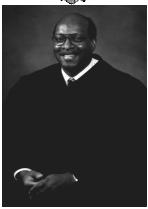


JUDGE NATHANIEL M. GORTON





JUDGE RICHARD G. STEARNS



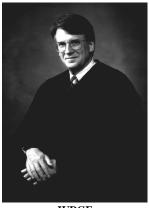
JUDGE REGINALD C. LINDSAY



JUDGE PATTI B. SARIS



JUDGE NANCY GERTNER



JUDGE MICHAEL A. PONSOR

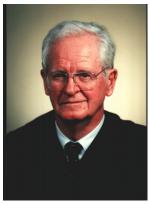


JUDGE GEORGE A. O'TOOLE

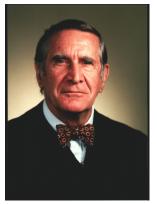
SENIOR DISTRICT JUDGES



JUDGE FRANK H. FREEDMAN



JUDGE WALTER JAY SKINNER



JUDGE A. DAVID MAZZONE



JUDGE EDWARD F. HARRINGTON



CHIEF MAGISTRATE JUDGE ROBERT B. COLLINGS



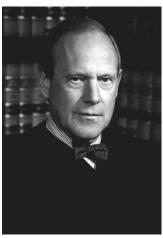
MAGISTRATE JUDGE LAWRENCE P. COHEN



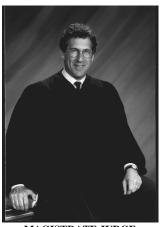
MAGISTRATE JUDGE JOYCE LONDON ALEXANDER



MAGISTRATE JUDGE MARIANNE B. BOWLER



MAGISTRATE JUDGE CHARLES B. SWARTWOOD



MAGISTRATE JUDGE KENNETH P. NEIMAN



MAGISTRATE JUDGE JUDITH G. DEIN



Traddition to their case management responsibilities, several of the judges have been designated to work on a number of committees on the national level. During 2001, the judges in the District of Massachusetts worked, and in some instances continue to work, on the following Judicial Conference Committees or national assignments:

Judge Gorton	Committee on Judicial Resources
Judge Stearns	Committee on Federal-State Jurisdiction
Judge Saris	
Judge Ponsor	. Committee on the Administration of the Magistrate Judges System
Judge O'Toole	Committee on Security and Facilities
Senior Judge Mazzone	Chair - F.J.C. Bench Book Committee
Senior Judge Mazzone	
Judge Gorton	U.S. Foreign Intelligence Surveillance Court

Each judge also has an important role, locally, as a liaison to at least one of the agencies or functional areas that are necessary for the efficient operation of the court. In this capacity, they confer and meet regularly with the persons responsible for the functional area to resolve problems, address concerns and plan for the future. There are also several standing committees that one or more district judges participates in, with one district judge designated as chair of the committee. The liaison judge and local committee assignments during 2001 were the following:

LIAISON JUDGE ASSIGNMENTS

Alternative Dispute Resolution	Senior Judge A. David Mazzone
Automation & Information Systems	Judge Nancy Gertner
Benefits for Judicial Officers	Judge Michael A. Ponsor
Bankruptcy Court	Judge Nathaniel M. Gorton
Bureau of Prisons	Judge Douglas P. Woodlock
Central Division - Worcester	Judge Nathaniel M. Gorton
Circuit Library	Judge Richard G. Stearns
Civil Pro Se Staff Attorney	Judge Reginald C. Lindsay
Counselor	Judge Jospeh L. Tauro
Court Security	Judge Richard G. Stearns
Court Interpreters	Judge Nancy Gertner
Court Historian	Judge Douglas P. Woodlock
Court Reporters	Judge Patti B. Saris



Criminal Justice Act Program	Judge Mark L. Wolf
Education Programs	Judge Patti B. Saris
Federal Protective Service	Judge Richard G. Stearns
G.S.A Space & Facilities	Judge Douglas P. Woodlock
Happy Observances	Judge Nathaniel M. Gorton
Immigration & Naturalization	Judge Mark L. Wolf
Jury Pool	Judge Reginald C. Lindsay
Media	Judge George A. O'Toole
Office of the Federal Defender	Judge Patti B. Saris
Office of the Clerk of Court	Chief Judge William G. Young
Office of the U.S. Marshal	Judge Richard G. Stearns
Office of the U.S. Attorney	Judge Richard G. Stearns
Pretrial Services Agency	Judge George A. O'Toole
Reporter to the Court	Judge George A. O'Toole
Senior Judges	Judge Robert E. Keeton
U.S. Probation Department	Judge Nancy Gertner
U.S. Magistrate Judges	Judge Robert E. Keeton
Western Division - Springfield	Judge Michael A. Ponsor

LOCAL COMMITTEE ASSIGNMENTS

Committee on the Budget	Judge Mark L. Wolf, Chair
Committee on Court Reporters	Judge Patti B. Saris, Chair
Committee on Court Security Judg	ge Richard G. Stearns, Chair
Committee on Rules and Practice	Douglas P. Woodlock, Chair

chief Judge William G. Young completed his third year as Chief Judge for the District of Massachusetts in December 2001. During the year he presided over regular monthly judges' meetings and a number of special events held here at the courthouse, including:

- ◆ A rededication ceremony to name the courthouse in honor of Representative John Joseph Moakley on April 18;
- ◆ Annual Employee Recognition Awards Ceremony on June 26;
- Swearing in ceremony for new U.S. Attorney Michael J. Sullivan.

During 2001, many of the judges participated in a number of programs to educate the bar and the public in various functions of the judiciary. Some of these efforts included:



- participating in MCLE sponsored seminars, such as:
 - # Federal Court Judicial Forum 2001;
 - # On Trial with Judge Young;
 - # Trial Practice, Trial Procedure and Evidence;
 - # Electronic Evidence Presentation;
- participating in programs and events sponsored by various bar associations, including the Massachusetts Bar Association, the Worcester County Bar Association and the Boston Bar Association.
- supporting and participating in various programs to help promote public understanding of the role of the justice system in American democracy coordinated through the Federal Court Public Education Project. This is done through mock trials, court visits, historical presentations and a number of other programs that bring students, seniors and other members of the public to the John Joseph Moakley Untied States Courthouse.

Judge Edward F. Harrington, a member of the court since 1988, took senior status as of March 1, 2001. However, he will still maintain a caseload which will include all his pending cases and a reduced number of new civil cases but no new criminal cases. Due to the temporary judgeship provided to the District of Massachusetts during the tenure of Judge Zobel as Director of the Federal Judicial Center, this vacancy will not be filled. The court is restored to its statutorily approved complement of thirteen full-time judges.

As Liaison Judge for Automation and Information Systems, Judge Gertner became chair of the new Case Management/Electronic Case Files (CM/ECF) Organizing Committee. This committee comprised of district judges, bankruptcy judges, state court judges, attorneys and court staff members was established to begin the process of preparing for the implementation of the new electronic case filing system. The first meeting of this committee was held on July 26. By November 15, the court had submitted the CM/ECF Decision Package to the Administrative Office for consideration as one of the early implementation courts for CM/ECF. The decision was pending as of the end of the year.

The pairing system which began in 1993 matches a magistrate judge in Boston with two district judges for a period of two years for the handling of any civil matters referred by the district judge. The following pairings were announced in January 2001 for a two year period through 2002:

Chief Magistrate Judge Collings: Judge Keeton and Judge Wolf

Magistrate Judge Cohen: Judge Harrington, Judge Saris and Judge O'Toole

Magistrate Judge Alexander: Judge Zobel and Judge Lindsay



Magistrate Judge Bowler:

Judge Tauro and Judge Woodlock

Magistrate Judge Dein: Judge Stearns and Judge Gertner

Magistrate Judge Robert B. Collings continued to serve as Chief Magistrate Judge for the District of Massachusetts. His three year term expired at the end of the year. Magistrate Judge Marianne B. Bowler will take over as Chief Magistrate Judge in 2002. Magistrate Judge Collings was appointed to a four year term on the board of the Federal Judicial Center during 2001.

Magistrate Judge Charles B. Swartwood was reappointed to a second eight year term as of December 1, 2001.

A significant amount of time was spent reviewing and making proposed revisions to the Magistrate Judge Local Rules during 2001. Judge Woodlock, chair of the Committee on Rules and Practice, coordinated the efforts to revise these local rules which hadn't been updated in many years. The proposed Magistrate Judge rules were published for comment in October 2001. The rules were being fine tuned at the end of the year with an anticipated effective date in January 2002.

The judges also continued their support of the Judge David S. Nelson Fellowship Program for the fifth year. This program provides the opportunity for about eight public high school students, who have completed the eleventh or twelfth grade, to receive paid internships working in offices of the District Judges, Magistrate Judges and the Clerk's Office. The Nelson Fellows Class of 2001 included: Chanea Charles, Kostika Koruni, Munah Manley, and Hilani Morales, Boston English High; Lashon Davis, Charlestown High; Reynald Grandoit and Racquel Soto, West Roxbury High; Diane Lee and Louis Merosne, Boston Latin. The program was coordinated by Simone Welsh, a former participant in the program.



he consolidation of the District Court Human Resources (HR) function with the U.S. Probation Office last year created a new Personnel Assistant position for the HR section. Although technically an employee of the Probation Office, Darline Clark, who began in February 2001, has shared duties in both the Clerk's Office and Probation. This added position resulted in an increase in the personnel assigned to HR to four during 2001: Nancy Cashman, Human Resources Manager, Joanne Cull, Personnel Specialist, Marilyn Bond, Training Specialist and Darline Clark, Personnel Assistant.

On November 26, 2001, the District Court HR section assumed full responsibility of the HR function for the U.S. Pretrial Services Department. This consolidation further increased the oversight responsibilities of the HR section by an additional 17 employees. Marilyn Bond was assigned as the primary HR contact for Pretrial Services. As a result of this additional consolidation, the HR section is responsible for approximately 286 employees in the court family.

There were also a few changes in the Clerk's Office policies during the year. The probationary period for new employees was extended to six months; the Employee Recognition Policy was revised based upon recommendations of a committee established for that purpose; and a new Transit Subsidy Policy was implemented.

! January

John Bisceglia resigned his position as Docket/Intake Clerk in the Worcester divisional office.

Christine Patch was hired as a Jury Clerk.

! February

Darline Clark began her employment as the Personnel Assistant in the consolidated HR department. (Probation and Clerk's Office)

Basil Cronin transferred from his position as Courtroom Deputy assigned to Judge Wolf to assume a position in the U. S. Pretrial Services Office.

Lisa Roland was selected to fill the vacant Docket/Intake Clerk position in Worcester.

! March

Dennis O'Leary was hired as the Courtroom Deputy assigned to Judge Wolf.

Robert Masuret resigned at the conclusion of his temporary appointment

! April

Todd McGrath accepted a promotion to become a Law Clerk for Judge Saris.

! May

Jennifer Filo was promoted from the Jury Section to the position of Docket Clerk assigned to Judge Gertner.

Linn Brady was hired as the second Pro Se Staff Attorney to work with Barbara Morse.

! June

John Greene resigned as Courtroom Deputy assigned to Chief Magistrate Judge Collings.



Don Stanhope was hired as a Jury Clerk.

Catherine Casey resigned her position as Judicial Assistant to Magistrate Judge Alexander.

Robert Alba transferred to assume the responsibilities as Courtroom Deputy assigned to Judge Saris.

Stephen Schonhoff was transferred to assume the Courtroom Deputy responsibilities with Magistrate Judge Bowler.

! July

Leslie Adamson was hired for the position of Secretary for Magistrate Judge Alexander.

James Thurman was hired for the summer to work as a temporary Customer Services Clerk.

! August

David Bolden retired from his position as Customer Services Supervisor after more than 30 years of government service.

Gina Piccininno was hired as the Courtroom Deputy assigned to Chief Magistrate Judge Collings.

James Thurman resigned his temporary position as Customer Services Clerk.

! September

Stephen Moynahan retired from his position as Attorney Admissions Clerk after more than 30 years of government service.

Matthew Hogan, Docket Clerk assigned to Judge O'Toole, transferred to the Court of Appeals for the First Circuit.

Jennifer Mulcahy transferred to the Docket Clerk position assigned to Judge O'Toole.

Sherry Jones currently filling a temporary Jury/Intake position in the Worcester divisional office was made a permanent employee.

October

Catherine Gawlik was promoted to Customer Services Supervisor.

Anthony Lardiere was promoted to be the Docket Clerk assigned to Judge Tauro.

Patricia Russo was transferred to the Customer Services Section.

Maria Simeone was promoted to the Docket Clerk position assigned to Judge Saris.

Judy Litwin was selected to fill the new position of Criminal Justice Act (CJA) Analyst.

! November

Stephen Schonhoff was transferred to the Customer Services Section.

! December

Dianalynn Saccoccio was hired as the Courtroom Deputy assigned to Magistrate Judge Bowler.

Deborah Keefe was hired as the Administrative Assistant to the Clerk.



In addition to the above Clerk's Office activity, during 2001, 22 Law Clerks were hired and 28 Law Clerks separated from the Court.

The Clerk's Office was also assisted during the year by several interns from local colleges, including: Matthew Johnson, Merrimack College; Mariam Taschyan, Suffolk University; and David Kim, Tufts University. Simon Hall from Ireland, a student in the Leaders for Tomorrow Program at the Kennedy School of Government, participated in a four week internship program in October.

TRAINING

Training remained a priority for court staff during 2001. The Training Council, permanently chaired by Marilyn Bond, continued to meet on a quarterly or as needed basis to identify the training needs and resources for the office. Members of the council included: Doug Holmes, Maurice Lindsay, Zita Lovett, Jennifer Mulcahy, Deborah Shattuck and Linda Topalian.

There were a number of training programs offered during 2001 to enhance the skills and knowledge of employees. Although most of these programs were onsite, there were a few opportunities for some employees to attend offsite training. Some of the programs offered included the following:

- # Orientation for New Clerk's Office employees
- # Frontline Leadership

- Sexual Harassment Awareness
- # Employee Dispute Resolution (EDR)
- # Jury Management System (JMS)
- # Court Financial System (CFS)
- # Records Management
- # Is It Legal Advice
- # Training in courtroom clerk duties
- # Civil and Criminal docketing
- # Security Training
- # Supervisory Training
- # Time Management
- # Local Rules Workshop
- # Conflict Resolution Improv Asylum
- # Creativity Day Camp

Training was also provided through the Federal Judicial Television Network (FJTN). The programs viewed by court staff included:

- # Leadership for Results
- # Electronic Case Filing
- # Magistrate Judge Reporting
- # Court to Court
- # CJA Voucher Payment Process
- # Managing Leave in a Family Friendly Workplace

During 2001 more than 540 employees took part in over 124 programs totaling over 491 hours of training.



OPERATIONS SECTION

CUSTOMER SERVICES

In 2001, the Intake/Records section of the Clerk's Office became the Customer Services Section. This change in title came about because of the emphasis we have had and will continue to have on serving our customers: the public, the bar, the Judges of this Court and their staff, and our fellow employees.

At the end of 2001, the Customer Services staff included: Cathy Gawlik, Supervisor, Susan Jenness, Jeanette Ramos, Eric Schlupf, Francis Orozco, Robert Masuret and Patricia Russo.

PERSONNEL CHANGES

Many personnel changes took place this year, some temporary, some permanent.

- ♦ Tony Lardiere filled in for Michelle Rynne during her maternity leave and for Patricia Russo during an extended vacation.
- ♦ Jeanette Ramos covered appeals for George Howarth, which ultimately became a permanent assignment.
- ♦ Karen Folan and Cathy Gawlik shared duties as Judge Lindsay's courtroom clerk in Lisa Hourihan's absence for maternity leave.
- ♦ Robert Masuret, a former temporary employee, joined the Clerk's Office full time in June.
- ♦ James Thurman joined the Clerk's office as temporary summer help.
- ♦ Jennifer Filo was promoted to Judge

Gertner's docket clerk in May.

- ♦ David Bolden retired on August 1, 2001.
- ♦ Matt Hogan resigned on September 3, 2001.
- ♦ Cathy Gawlik was promoted to the newly created Customer Services Supervisor position in October.
- Patricia Russo requested and was granted reassignment to the Customer Services Section.
- ♦ Tony Lardiere was promoted to docket clerk for Judge Tauro, and Maria Simeone was promoted to docket clerk for Judge Saris.
- ♦ Jennifer Mulcahy was assigned as Judge O'Toole's docket clerk in September.

MAIL PROCESSING

After September 11, and the anthrax scare, security issues and processing the incoming mail became areas of great concern. Changes were made of necessity in the procedures related to receipt of mail, including the use of masks, gloves and protective clothing. The Clerk's Office also changed the location for opening and sorting incoming mail, in order to ensure a safer environment for all personnel.

RECORDS MANAGEMENT SYSTEM

Since the start of this project in late 1999, over 22,000 case files have been entered into the Records Management System. This program has now been linked with Web CHASER dockets. Files can be ordered for later pick up through CHASER



or the location of the file can be determined if it has been properly scanned out of the records room.

SCANNING

In 2000, the Clerk's Office scanned just over 15,000 documents. In 2001, almost 22,000 documents were scanned. We are now able to provide the Court and the public access to over 37,000 scanned images via our CHASER or PACER services.

In the past year, Judge Gertner, Judge Zobel, Judge O'Toole, and Judge Gorton have all requested that all documents in cases pending before them be scanned.

TRAINING

Eric Schlupf, Steve Schonhoff, Maria Simeone, Jeanette Ramos, Francis Orozco, Mary Johnson and Jennifer Filo participated in an eight week docket clerk training class.

In February, Judge Patti Saris conducted an hour long class on the Federal and Local Rules for all courtroom and docket clerks.

QUALITY ASSURANCE

The Quality Assurance section has begun reviewing the work of courtroom clerks related to

the appointment of counsel to represent indigent defendants, with input from the CJA Analyst. This review will help to improve the validity of the reports provided to the CJA Board, and will improve the quality of information available on our dockets.

REFERENCE MATERIAL

The first Attorney Handbook was completed by the Operations Manager in February. This handbook was created to provide reference information for attorneys on a number of policies and procedures relating to the court and case processing. In addition to being available in hard copy, it has also been posted on our public web page. A Criminal Reference Guide was also completed this year to supplement the Civil Reference Guide which provides court staff with practical and procedural information on docketing and case management.

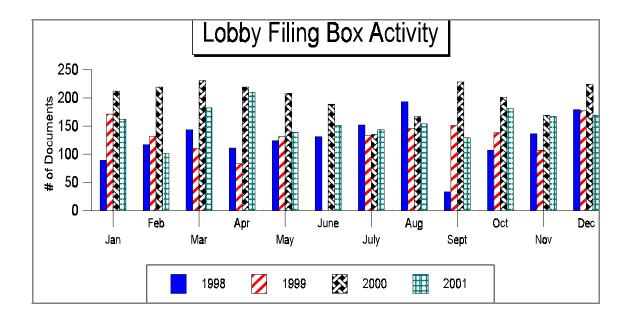
LOBBY FILING BOX

The use of the filing box, located in the lobby of the Moakley U.S. Courthouse in Boston, has been somewhat consistent during 2001 as indicated by the chart on the following page. However, as compared to usage during 2000 there has been an overall decline from the prior year. This chart provides a summary of filing activity since it's first full year of use in 1998.





Docket Clerks from left to right: seated - Jennifer Mulcahy, Karen Folan, Elaine Flaherty, Kathy Boyce and Maria Simeone; standing - Sheila Diskes, Docket Supervisor, Marie Bell, Sandi Holahan, Michelle Rynne, Jennifer Filo and Jay Johnson



COURTROOM CLERK SECTION



Courtroom Clerks (left to right) Standing - Craig Nicewicz, Mary Cummings - Courtroom Supervisor, Tom Quinn, Maryellen Molloy, Rebecca Greenberg, Mary Johnson, Robert Alba, George Howarth, and Phil Lyons - Seated - Lisa Urso, Lisa Hourihan, Zita Lovett, Ellen Hayes and Dennis O'Leary.

Supervisor, continued to coordinate the activities of 19 courtroom clerks in Boston during 2001. In addition to her supervisory duties, Mary coordinates information regarding interpreter usage and payments.

During the course of the year there were a number of changes and events affecting the courtroom deputies, as indicated below:

A number of courtroom clerks participated in a Rules Workshop conducted by Judge Saris on February 8. Recent revisions to the Local Rules and Federal Rules were discussed.

- On March 1, Dennis O'Leary commenced his position as courtroom clerk assigned to Judge Wolf.
- ♠ In April, Todd McGrath resigned as a courtroom clerk to become Judge Saris' law clerk. Robert Alba was chosen to replace Todd as courtroom clerk for Judge Saris in June.
- On May 3, many of the courtroom clerks, along with other staff from the Clerk's Office attended an off-site training program at the Improv Asylum. In May, Steven Schonhoff was selected as courtroom clerk for Magistrate Judge Bowler.



- ◆ In June, John Greene resigned his position with Chief Magistrate Judge Collings and Phil Lyons was assigned to replace him on a temporary basis.
- Gina Piccininno joined the Clerk's Office staff on August 6 as courtroom clerk assigned to work with Chief Magistrate Judge Collings.
- A revised criminal Judgment and Commitment form (AO245) was introduced to the courtroom clerks. In addition to changes regarding the separation of the Statement of Reasons from the main form of judgment, the format was also changed. The J & C was made available in a PDF fillable format which required the use of Adobe Acrobat Writer software.
- ◆ In November, Stephen Schonhoff was reassigned to other duties in the Clerk's Office, while Phil Lyons and Karen Folan provided interim coverage for Magistrate Judge Bowler.
- ◆ On December 26, Dianalynn Saccoccio commenced her position as courtroom clerk assigned to Magistrate Judge Bowler.

Courtroom Clerks Assigned to District Judges in Boston: Elizabeth Smith, Zita Lovett, Craig Nicewicz, Lisa Urso, Dennis O'Leary, Rebecca Greenberg, Peter Gallagher, Mary Johnson, Lisa Hourihan, Robert Alba, Maryellen Molloy, Karen Bain-Morgado, Philip Lyons, George Howarth.

Courtroom Clerks Assigned to Magistrate Judges in Boston: Ellen Hayes, Rex Brown, Gina Piccininno, Dianalynn Saccoccio, and Thomas Quinn

The courtroom clerks continued to monitor the CJRA reports for the status of motions and bench trials pending more than six months and cases pending more than three years that are required for the periods ending March 31 and September 30 each year. There has been and continues to be a steady decline in the number of reportable motions since we began using the CJRA reporting software in 1994. The CJRA reports for matters pending as of September 30, 2001 included the following:

♦	6 Month Pending Motions	258
•	3 Year Pending Cases	235
•	Bench Trials	1
•	Bankruptcy Appeals	8
•	Social Security Appeals	0

INTERPRETERS

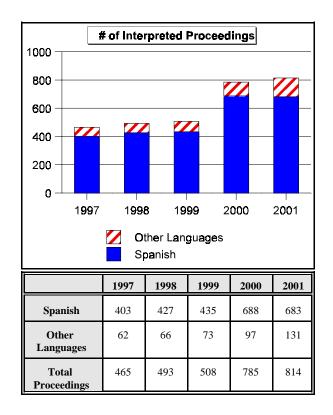
There was a slim increase in the overall use of court interpreters in the District of Massachusetts during 2001. The use of Spanish interpreters decreased slightly from the figures available for the same time period in 2001 but there was a more significant increase in the use of interpreters in other languages of about 35%. As a result of this, there was an overall increase in the use of interpreters of about 3.6% from 2000 to 2001. The chart below provides data on the use of interpreters in the District of Massachusetts for the last five years. Other than Spanish, the most frequently interpreted language was Portuguese.

The three languages certified by the Administrative Office are Spanish, Haitian Creole and Navajo. The AO also maintains a list of interpreters who have passed a written examination in the following languages: Arabic, Cantonese, Hebrew, Italian, Korean, Mandarin, Polish and



Russian. This list is available on the AO Web page, as part of the National Court Interpreter Database that provides information on interpreters used by various federal courts throughout the country. Mary

Cummings, Courtroom Supervisor, updates the information in the national database on the court interpreters for the District of Massachusetts.





DIVISIONAL OFFICES

WESTERN DIVISION

Filed

Closed

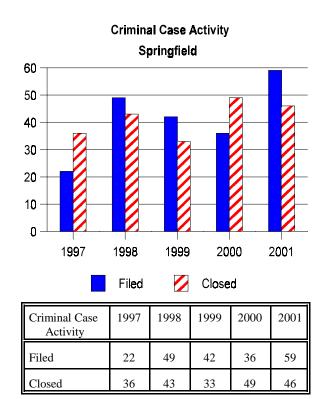
m 2001, 225 civil cases were filed in the Western Division, down from 236 in 2000. 41 criminal cases were filed, up from 36 in 2001.

At the end of the year Judge Ponsor had 177 civil cases and 31 criminal cases pending; Judge Freedman had 67 civil cases and 12 criminal cases pending; and Magistrate Judge Neiman had 25 consent civil cases pending.

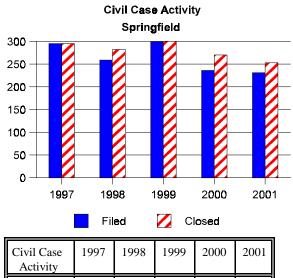
Criminal Defendants Springfield Filed Closed Criminal Defendant Activity

Planning and designing of the new federal courthouse continued through the year. Groundbreaking and construction is expected to begin in 2003.

The annual Clerk's Office employee appreciation luncheon was held on July 30, and was attended by the judges, chambers staff, Clerk Tony Anastas and Bill Ruane, Chief Deputy Clerk. Elizabeth French received a cash award and was individually recognized by Judge Ponsor for her hard work during the Kristen Gilbert capital murder trial which ended in March. He also thanked the balance of the staff for their help as well.







Civil Case Activity	1997	1998	1999	2000	2001
Filed	295	259	299	236	231
Closed	295	282	300	270	253

CENTRAL DIVISION

here was about a 14 percent increase in new civil case filings for Worcester in 2001 to 254 cases over the 222 cases filed in the year 2000. The criminal case filings remained about the same as compared to the 2000 figures, but the criminal defendant filings decreased by approximately 21% from the prior year.

In 2001, some organizational changes were made in order to have the Clerk's Office function more efficiently. Sherry Jones, who was hired as jury/intake clerk, accepted additional responsibilities in processing civil case openings. John Bisceglia resigned as docket clerk to pursue a career at the new medical facility located in Fort Devens. Lisa Roland, a former intern in the Clerk's Office and docket clerk at Worcester Superior Court, was hired as docket/intake clerk in February, 2001. In addition to their primary responsibilities,

both Sherry and Lisa perform all scanning and records management duties.

The new Jury Management System was implemented in the district. Sherry Jones attended three days of JMS training in Boston in January, 2001 for this transition.



Worcester Staff from left to right: Lisa Roland, Sherry Jones, Cheryl Dahlstrom, Leann Ouellette, Deborah Shattuck and Martin Castles

Other improvements which were made in the Clerk's Office included upgrades to computers, a new telephone system and the Worcester court list became available on our web page.

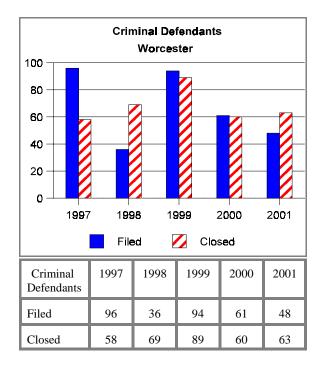
LaSheena Alford, a student from Becker College, was selected to participate in the Internship Program in Worcester. LaSheena was sworn in as a deputy clerk and assisted in completing the mountainous task of scanning all documents for all civil cases.

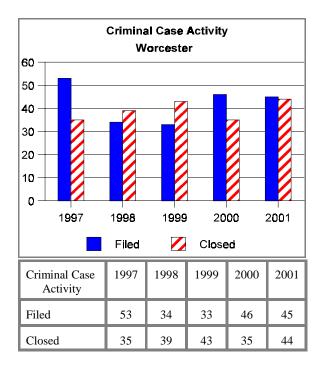
In the wake of 9-11 and the anthrax scare, new procedures for opening the mail were implemented. Gloves and masks were provided to staff opening the mail. The District Court and Bankruptcy Court worked together to develop a plan for mail handling in a common mail room.

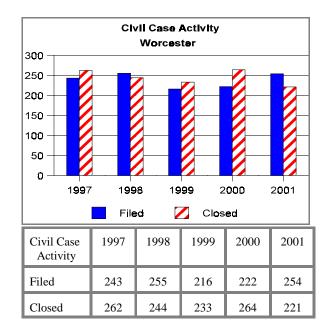
The Worcester Division hosted four "Arts and the Law Series" programs for the Worcester Public School students in grades 6 through 12.



This program has been very successful and proved beneficial to the students.









ALTERNATIVE DISPUTE RESOLUTION

se of alternative dispute resolution increased in the year 2001. A total of 359 cases were referred to the ADR Program, a gain of 84 cases over the prior year. Mediation remained the process chosen most often for attempting to settle civil cases before trial. Parties made greater use of the full range of choices of mediators provided by the court. While most cases continued to be mediated by two senior judges and seven magistrate judges in Boston, Worcester and Springfield, parties increasingly looked to the court's ADR Panel, a group of 35 experienced professional mediators, both lawyers and non-lawyers, who volunteer their services as ADR providers. For the first time, attorneys were able to access information about the Panel members on the court's website, www.mad.uscourts.gov.

Cases mediated in 2001 included cases referred to the Program both in 2000 and 2001. Results were reported in 2001 for mediations of 295 cases. Of these, about 63 percent of the

cases settled or partly settled. This percentage is comparable to the percentage of settlements in prior years. As a supplement to the trial judges' work, the Program continues to be effective in facilitating pre-trial resolution of a broad range of civil matters.

In 2002, the Program hopes to increase efforts to educate the legal community about the benefits of using the services of the ADR Panel. The Panel roster will be updated and expanded. Another goal is to create an improved method of monitoring data such as the types of cases that go to ADR, the settlement rate for different types of cases, the timing of mediation, and the length of time that passes between referral and mediation of a case, or between the first mediation session in a case and the report of a result. The Program seeks to make advances in evaluating the quality of ADR services and the satisfaction of participants.



CRIMINAL JUSTICE ACT

Plan which was amended in 1993 continues in effect for the District of Massachusetts. Charles Rankin, Esq. as Chair of the CJA Board, continued to participate in CJA related activities in the District, along with Owen Walker the Federal Public Defender. In November 2001 the Court approved changes to the representation on the CJA Board with the addition of six new members and the reappointment of four existing members for staggered terms. Charles Rankin was also reappointed as the chair of the CJA Board.

Recommendations by the CJA Board concerning the annual application process for reappointment and replacement attorneys on the CJA Panels for the year 2000 were approved by the Judges in February 2001. Later in the year the CJA Board reviewed the applications for 2001 and provided their recommendations to the Court. The changes to the Boston, Worcester and Springfield CJA panels were approved by the Court on September 4, 2001. The panel attorney lists were then updated and made available to Court personnel through the network directory p:/Clerks Office/CJA. The name and address lists of the CJA panel attorneys for Boston, Worcester and Springfield were also posted on the Court's public web site. Training programs for the new panel attorneys were held in Boston on March 29th and October 15th. The new panel members were provided with written material, as well as an overview of the CJA appointment system and resources.

The CJA Board also continued to work with Owen Walker and the other members of the Federal Defender's Office on training programs for CJA panel members and other criminal defense attorneys in 2001. In addition to regular brown bag lunch meetings, there was an "All Day Skills Program"

held on November 2 for criminal defense attorneys in Maine, New Hampshire, Massachusetts and Rhode Island. This program was sponsored by the Federal Defender Office - MA & NH and the District of Massachusetts CJA Board.

Work was also completed by Len Rideout on the modifications to the CJA Attorney Assignment System. Len worked with the courtroom clerks for the Magistrate Judges, primarily Robert Alba, to make the system a little more user friendly for the clerks. This reprogramming was accomplished and implemented early in 2001. As part of the process of revising the panel attorney lists, we also created new decks in the assignment system, eliminating any extra cards in the decks, so that all attorneys started out even.

In addition to providing our CJA Panel attorney lists on our public web page, we have also included various CJA voucher forms and instructions. In 2001, a summary page for use by the attorneys when submitting requests for payments spanning more than one rate period was added to the web page. Use of this summary page eliminates the need for a separate voucher for each rate period. An excel spreadsheet which can be used by CJA attorneys for keeping track of time and expenses for CJA work was also added.

One of the major changes to affect the Clerk's Office concerning CJA activities was the implementation of a new appointment and voucher payment system in late 1999. During 2001 we continued to gain a substantial amount of experience on the new CJA Payment System. Procedures and routing for CJA vouchers were further modified. The number of people involved in the process was reduced in order to improve the

routing process and timeliness of payments to CJA attorneys and experts.

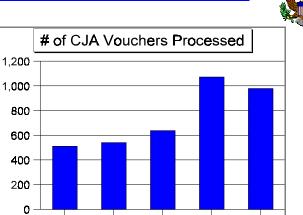
Judy Litwin continued to assume the primary responsibility for data entry and initial voucher processing while Steve Moynahan was responsible for the mathematical and technical review of the vouchers and forwarding to the judges for approval. In July, Judy assumed full responsibility for CJA voucher processing due to Steve Moynahan's medical leave and subsequent retirement. Judy was later selected to fill the new CJA Analyst position which replaces the Bar Clerk position previously held by Steve. In her new position, Judy will focus on CJA voucher processing and other CJA related matters, as well as Attorney Admissions. assumed this position full time at the end of the y and will work under the supervision of the

Projects
Manager, rather than the Administrative Services
Manager.

The number of CJA vouchers processed f o r payment decreased by about 8.6 percent from 2 0 0 0 t o 2001 and the amount of payments made under C J A also decreased by about 12.7 percent, as can be s e e n in the table and charts below. Although the v o u c h e r payment activity decreased during this time period the number of appointments made through the Attorney Assignment System increased slightly.

	СЈА	СЈА	To	tal Appoin	ted
	Payments	Vouchers	Boston	Spfld	Worc
1999	\$1,726,567.	638	378	38	35
2000	\$2,743,582.	1072	347	16	49
2001	\$2,396,304.	979	402	32	42

1997



1999

CJA Vouchers Processed

2000

2001

	1997	1998	1999	2000	2001
CJA Vouchers Processed	511	540	638	1072	979

1998





PRO SE AND INDIGENT CASE PROCESSING

PRO SE CASE PROCESSING

n May, Linn Brady was hired as a Pro Se Staff Attorney. Linn comes to the Court from the New York Office of Gibson, Dunn & Crutcher, LLP. She joins Barbara Morse, Pro Se Staff Attorney, and Susan Jenness, Pro Se Intake Clerk.

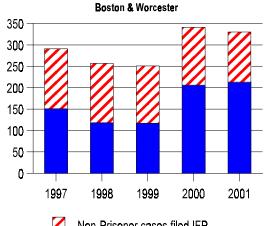
Until this year, the primary duty of the Pro Se Staff Attorneys' Office was the preliminary screening of non-habeas, civil cases filed without prepayment of the filing fee in the Boston and Worcester divisions. With the hiring of a second staff attorney, the Court has expanded the duties of the Pro Se Staff Attorneys' Office to include the preliminary screening and review of fee-paying prisoner complaints and habeas petitions filed pursuant to 28 U.S.C. § 2241. The Pro Se Staff Attorneys' Office also now reviews unopposed prose motions that are referred to it as well as cases referred from the Springfield division.

The Pro Se Staff Attorneys also coordinate an internship program which is separate from the Clerk's Office internship program. This year, a law student intern from the New England School of Law and a paralegal intern participated in the program. In the past, the program has involved law school students from Boston College, Boston University, Suffolk University, and Harvard. Interns work directly with the Pro Se Staff Attorneys and provide assistance in researching and reviewing matters relating to pro se case processing.

Several developments have led to an increase in the number of <u>pro se</u> filings. In 1999, Federal Medical Center ("FMC") Devens, the first federal prison facility in Massachusetts, was opened. The presence of a federal prison in this district has led to a substantial increase in the

number of <u>pro se</u> filings. Federal prisoners at FMC Devens generally file one of two types of actions: (1) civil rights complaints or (2) petitions for habeas corpus pursuant to 28 U.S.C. § 2241.

Civil Cases - Requests for Waiver of Fee



Non-Prisoner cases filed IFP
Prisoner cases filed IFP

Cases Filed IFP - Boston & Worcester	1997	1998	1999	2000	2001
Prisoner cases filed IFP	151	118	117	206	213
Non-Prisoner cases filed IFP	140	139	134	135	117
Total cases filed IFP - Boston & Worcester	291	257	251	341	330

In addition, three recent decisions by the United States Supreme Court have resulted in an increase in the number of <u>pro se</u> filings involving immigration issues. In a pair of decisions, the Supreme Court held that Congress, in enacting the Antiterrorism and Effective Death Penalty Act and the Illegal Immigration Reform and Immigrant Responsibility Act, had not spoken with sufficient



clarity to strip district courts of habeas jurisdiction over immigration cases. See INS v. St. Cyr, 533 U.S. 289, 314 (2001); Calcano-Martinez v. INS, 533 U.S. 348, 350 (2001). In Zadvydas v. Davis, 533 U.S. 678 (2001), the Supreme Court held that noncitizens could not be indefinitely detained beyond a period reasonably necessary to secure their removal. Id. at 701. As a result of these decisions, the Court has experienced an influx of pro se petitions in immigration matters.

PRO BONO PROGRAM

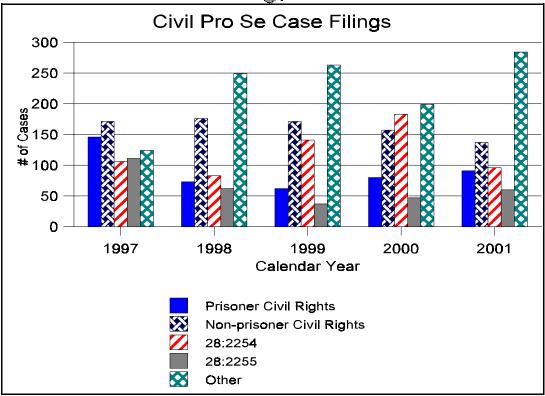
The Pro Se Staff Attorneys' Office also oversees the Court's pro bono program. This

program, started in 1982, locates volunteer attorneys to represent indigent litigants in civil cases. <u>Probono</u> lawyers are appointed at a rate of approximately 1-2 per month.

A training seminar for attorneys interested in representing indigent parties in federal court was being planned for June 2002, in conjunction with Massachusetts Continuing Legal Education ("MCLE").

Attorneys participating in the MCLE Federal Court Judicial Forum 2001, held at the Court in November, were invited to participate in the Court's <u>Pro Bono</u> Program.





Pro Se Case Filings	1997	1998	1999	2000	2001
Prisoner Civil Rights	146	73	62	80	91
Non-prisoner Civil Rights	171	176	171	157	137
28:2254	106	83	141	183	96
28:2255	111	62	37	47	60
Other	124	249	263	199	284
Total	658	643	674	666	668



Systems Staff from left to right. Front row - Stuart Barer, Linda Topalian, Ben Bauer, and Len Rideout. Back row - Bill Doe, Chris Gross and Edis Feldhouse.

SYSTEMS

urrent Staff:

Stuart Barer - Systems Manager

Len Rideout - Assistant Systems Manager

Chris Gross - PC Systems Administrator & Telephone Coordinator

Bill Doe - PC Administrator

Edis Feldhouse - Unix Systems Administrator

Linda Topalian -Systems Support Specialist/ Trainer

Ben Bauer - Network Administrator

♦ CJA

During the latter part of 2000, Len Rideout worked with the magistrate judge courtroom clerks, Helen Costello, and Mary Cummings to revamp the locally developed CJA Attorney Assignment program. The system which had been modified from the Case Assignment System had grown somewhat obsolete and required repetitive entry of the same information. Len developed a prototype system which was tested at the end of last year to insure that the redesign would be useful. After further modifications to accommodate the users' specifications, the revised District of Mass. CJA Attorney Assignment system became available on January 23, 2001.



♦ Computer Security

After a rash of web page defacements and virus attacks in the judiciary, several steps were taken this year to improve the security on our internal and external servers. A security scan of our internet page and PACER-Net system performed by the Administrative office did not reveal any potential problems. Other software was configured to monitor access and utilization of resources and to detect denial of service attacks. Our staff continues to make sure that all hardware and software security updates, as recommended by the Judiciary Automated Systems Incident Response Capability at the AO, are implemented.

Major upgrades to our anti-virus software were installed on all computers. The master file of known viruses is now automatically updated twice daily. Daily virus scans run in the background without interfering with workstation usage. Real-time scans occur whenever new files are created or downloaded to provide increased protection.

♦ Opinions

Design and configuration began on a public, web-based system for electronic dissemination of judges' opinions. The system will be similar to one used by the Western District of North Carolina. Webglimpse, a program to index and search judges' opinions on the Internet was configured and installed. This program can be accessed by the public from a link on our external web page and is located on our Pacer server in order to utilize the security features already installed on this computer. Some of the major features of the program include: Search by Keyword, Judge or Recent Opinions, links to PDF documents with "jump to line" feature in text format, and keyword searching. WordPerfect macros used to submit opinions to our internal Isys system have been enhanced by Judge Cohen to allow optional transmission to the new, Internet

system. Online services will now be able to retrieve these court opinions from our Web page, rather than having to wait for hard copies in the mail.

Configuration and testing for our publicinternet Opinions Retrieval System was being completed at the end of the year. Publishers were notified that the court will no longer send printed opinions through the mail after January 2, 2002. They would now be able to access these opinions through our Internet page.

♦ Bar-coded File Management System for Records Room

The Opus Realtime module was added to the Opus32 records tracking software to automatically import Case Assignment data directly into the record tracking system. It will also be used to import updated information from ICMS into the database. The information is updated every 20 minutes from Case Management. Each Friday night, any missing data for the previous four weeks is picked up from the ICMS database.

The OpusNet addition to the Opus32 records tracking software was installed and is now working. This allows personnel to order case files from the records room directly through WebChaser. It also provides information about files that are already checked out, pending orders, and waiting lists.

♦ Electronic Courtrooms

Additional funding was received from the AO along with the delegation of procurement authority to install evidence presentation systems in courtroom number 10. During the course of the year, local funds were also allocated to increase the number of courtrooms being outfitted with electronic evidence presentation systems in Boston. Tony Anastas, Stu Barer and Chris Gross attended



training in Washington DC to manage the project using the Courtroom Technologies contracting resources. This has been an extensive, time-consuming process which involves hiring contractors, negotiating prices, and managing design and installation services. The services of Newcomb and Boyd were enlisted to work on the design phase of the project which began in April.

The design and procurement phases were completed in September. The project was awarded to Doar Communications on September 26th. In addition to Courtroom 10, funded by the AO, the court was also able to locally fund Courtrooms 9 and 19 for electronic evidence presentation. Other components purchased to increase the electronic functions in the courtrooms include the following:

- videoconferencing rollabout for the new courtroom systems
- videoconferencing rollabout for Worcester
- 40" gallery monitors for courtrooms 2,9,10, and 19.
- Wolfvision, document camera for Courtroom 2
- Wolfvision, document camera, LCD projector, and cart for use in courtrooms not equipped with evidence Presentation.

♦ Computers

New computers were distributed to law clerks and new laptops were purchased and configured to replace older models for Judge Gorton's and Magistrate Judge Swartwood's staff, Magistrate Judge Dein, Judge Mazzone, and Judge Zobel (stolen) in preparation for Lotus Notes. New computers were installed for managers.

During the course of the year, a number of

excess computers were donated to the following: on April 27th, twenty one excess Dell OptiPlex 5100 computers were donated to the New England Shelter for Veterans and four Dell OptiPlex 433 computers were donated to the Peabody Discover Center; on May 10th, thirteen Dell OptiPlex 433 D/Le computers were donated to Work Inc.; on September 4, 2001, a total of 10 computers were inventoried in the Clerk's Office, Worcester Division and given to charity; on September 10, 2001, four computers were inventoried in the Clerk's Office, Springfield Division and given to charity; on September 27, 2001, four Dell OptiPlex Gs+ 586 processors were transferred from the U.S. District Court Clerk's Office to the United States Marshal's Service in Boston. The hard drives on all computers were reformatted. All serial numbers were recorded and the donations were reported to the Greater Boston Federal Executive Board.

On September 19, 2001, twenty-five empty toner cartridges were delivered to the Courthouse Harborview Children's Center. They have a recycling program to benefit the Center with computer equipment for the day care children.

♦ Lotus Notes/Domino Implementation

Because of numerous questions and protests by the courts, the Notes implementation is temporarily on hold by the AO. A new installation schedule was expected by the end of April but was pushed back further due to AO funding and resources. During the year we continued our local preparation efforts to upgrade computers and procure training. Additionally, as an educational, pre-migration training tool, Notes accounts were set up for all systems staff on a test server run by the Circuit's systems staff. All USDC systems staff had a functional Notes client installed on their workstation for testing and education purposes. Efforts are underway to test migrate Organizer calendar information into the Notes framework.



A two server cluster arrangement was delivered and installed to house the Notes server software, called Domino. The cluster arrangement will provide load balancing and redundancy in the event of a hardware failure. This is particularly valuable since this server cluster will be the central and only post office for all Massachusetts District Court, Probation, Pretrial, and Bankruptcy users. The actual Domino software will be installed later by the Lotus team.

♦ Videoconferencing Project

A memo was sent to the AO on April 27, confirming our intent to begin the installation of all required items and selected options for videoconferencing. This included the videoconferencing infrastructure to share ISDN lines, a multiple camera system for Courtroom 2, a portable system for Judge Mazzone's courtroom, a video broadcast server, global management and scheduling software, and five desktop units. Final assembly of all camera mounts and wiring in Courtroom 2 took place in August, as well as, installation of the infrastructure for Courtroom 2, and the portable systems.

The video server portion of the project was completed on October 30th. We now have the ability to stream FJTN broadcasts (and other video sources) to workstations on the network. Programs can also be recorded for future viewing on demand. We will test this on a limited basis at first because minimal disk space was included in the initial configuration. A live feed from the videoconferencing system in Courtroom 2 has been integrated with this for viewing and recording purposes. We will install the necessary workstation viewing software on a controlled basis to minimize the impact on our existing network functions.

Web Page Update

Edis Feldhouse created a public web page to assist users in ordering archived case documents from the Federal Records Center in Waltham. She wrote a search mechanism that allows a user to enter a case number and retrieve information needed in order to complete an order form. This should alleviate some of the manual lookups that Records staff perform at the counter. Our local rules were also updated on our external web page.

The following are some of the additions that have been made to our public web page this year:

- -vacancy announcements
- CJA forms and instructions
- -updated local rules
- -Worcester Court List

♦ The Speedy Trial Report

The Speedy Trial Report has been enhanced and converted into a web format by Len Rideout. The report is now available continuously on our internal web pages. The report is current as of 6:00 P.M. each night when the data is extracted from ICMS. Choose "Mass. Reports" on the Web Chaser menu. Security is configured so that each session only sees its own defendants on the report. Additional reports based on the Speedy Trial data are also being developed.

♦ Network Infrastructure

A major upgrade of all the network concentrators in the building was performed by the District Court, Probation, Pretrial, and the Court of Appeals/Circuit Executive's Office during the weekend of June 22-23. Design and testing for the



project took place over the previous six weeks. Since full implementation required user traffic on the network to identify problems, the actual systems adjustments spanned the following week. For the majority of users the effects of the changeover were invisible. The project has improved our network in several immediate ways, including: better utilization of existing physical wiring within the building, faster backbone speed, a reduction of intra-court network congestion, more flexibility in network expansion, and better capacity to handle future network services such as videoconferencing.

The primary computer room air conditioning unit was upgraded. This will increase the cooling capacity in the room to better protect our servers from overheating. It will also allow the removal of the secondary air conditioner in the ceiling to reduce the threat of water leaks causing damage to computer equipment.

♦ Sentencing Information System (SIS)

Len has been coordinating the WPI student project for the Court. Brian Gilman and Jared Rhoads will be building a sentencing tracking system for use by the judges. They have been meeting with the Probation Department, Clerk's Office staff and Judge Gertner to evaluate requirements for the project. Their system will allow our judges to view on a secure web page, the reasoning behind upward and downward departures from sentencing guidelines in all of our criminal cases. The judges will have a better sense of how similar offenses were handled in this Court. The students formal project proposal was presented on October 19, 2001 and work on the project will continue into 2002.

♦ Worcester Telephone System

Verizon responded to the RFP sent out by Joan Vacca of the Circuit Executive's Office with

the lowest priced technically acceptable offer for a new telephone system in Worcester. On October 17, 2001, court representatives met with Verizon to review the proposed system. Verizon reported that after a survey of all existing connections and office locations, no additional wiring would be needed. Requirements for analog and digital phones in chambers, courtrooms, and offices were discussed. The lines and equipment will be installed for testing a week in advance of the proposed cut over date. Training was provided by a Verizon representative to all employees prior to the cut over date. The new phone numbers were published in advance of the cut over date. GSA prepared a recorded announcement on the existing system to inform the public of changes.

This new system will consolidate all services under a single vendor to reduce monthly charges and simplify the maintenance process. Because the telephone service was purchased directly from Verizon instead of GSA, all telephone numbers were required to be changed.

♦ Web PACER

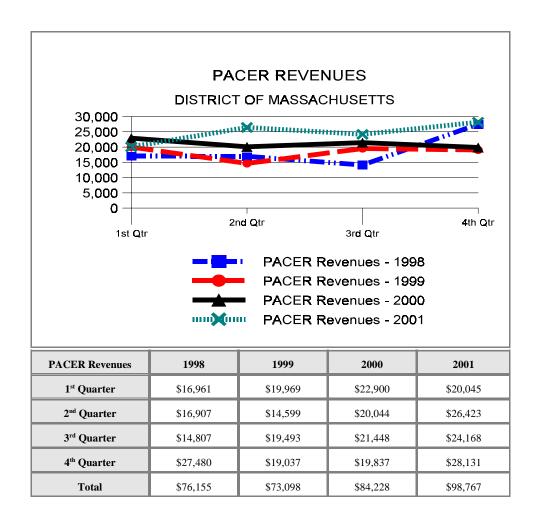
Web PACER was made available on the public Internet in February 2000. This allowed our registered PACER users the ability to view and print dockets and scanned documents using a web browser, as an alternative to the slower dial-up method. Access to new civil case filing information, with options to view by current day, current month, specific day, or specific month and procedures for requesting archived cases from the Records Center in Waltham were added as options through Web PACER in 2000.

As indicated in the chart below, PACER revenues for 2001 increased by more than 17.2 percent over the figures for 2000. In all but the first quarter of this year, PACER revenues exceeded that of past quarter earnings. The method of accessing PACER has changed significantly since the option



to use a web browser has been added. During the first quarter of 2000, dial-up access was used more than 86 percent of the time while web access was used more than 13 percent of the time. By the end

of 2001, the method of usage had almost totally reversed with 85 percent accessing PACER through the web and only 15 percent continuing to use the old dial-up method.





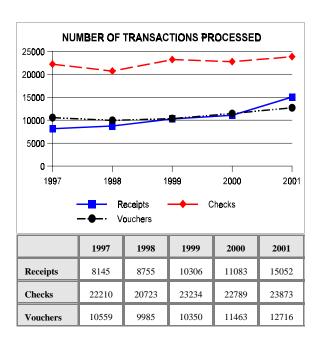
ADMINISTRATIVE SERVICES

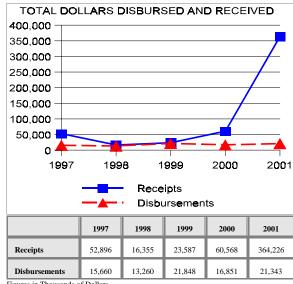
he Administrative Services function provided support to the Clerk's Office and the judges in 2001 in the following areas of responsibility: financial, jury, space/facilities/procurement, bar admission, and court reporters. As Administrative Manager, Frank Dello Russo is responsible for oversight of these functions and working with the supervisors and staff to coordinate their efforts.

For the first time in a number of years, 2001 was a stable year as far as staffing for the financial section was concerned. There were no personnel changes within this area during the year. This provided an opportunity for Chris Karjel, Financial Administrator, and her staff to look at and emphasize, cross training in the core functions of the financial department. A rotation schedule was established so that each of the financial clerks would spend four months performing the duties of one of the core functions in the financial department which allowed a more even distribution of the workload among financial personnel. This change also resulted in a greater level of overall expertise in the primary areas of the financial office, allowing for the development of better problem solving and support skills.

The statistics for the Financial Office as indicated in the accompanying charts show an across the board increase in various measures of workload. The number of receipts issued increased by about 36 percent, which is a significant increase over the figure for 2000; the number of vouchers processed increased approximately 11 percent; and the number of checks issued increased by about 4.75% over the prior year's figures. The total dollar amount of receipts also took a significant leap in 2001 due to the collection of a large amount in

criminal fines and restitution.





Figures in Thousands of Dollars

NOTE: Large figure for Receipts in 2001 was due to wire transfers for fine payments in the amount of \$352,226,164



The jury function comprises another major area of responsibility in the Administrative Services section. One of the most significant changes that effected the jury section during 2001 was the full implementation of the new Jury Management System (JMS). Most of the preparation work for JMS took place in 2000 but the actual live implementation began in Boston in January 2001 and several months later in the divisional offices. In January, two new employees, Christine Patch and Don Stanhope, joined Jim McAlear, Jury Administrator, and Sherry Spencer as members of the jury department in Boston.

Jury trials were empaneled for the first time using the new JMS system in January. JMS allows the jury department to manage the process of summonsing, selecting, empaneling and compensating jurors in a much more efficient and expeditious manner. Several adjustments were made to the standard JMS program in order to customize it to accommodate the needs of this court. Modifications were made to address changes in the summonses, supplemental jury lists for courtroom use and the jury wheel cards. Another area where much energy and time was devoted was to the interfacing of JMS to the current accounting system, CFS. Once this was done, processing compensation payments for jurors attendance went smoothly.

In conjunction with JMS, a new system to assist with the many telephone calls received from prospective and empaneled jurors was installed. The Interactive Voice Response [IVR] system allows the jury department to intelligently respond with a detailed list of voice messages available for activation by the jury administrator for incoming calls from jurors. The system also includes 'call back' and 'reminder call' features, thus allowing jury clerks to tend to more important tasks in their office.

Finally, the jury department was re-outfitted with two 'Sharp Conference Series - Model XG-V10Wu" projectors installed in the jury assembly room. These projectors have enhanced greatly the viewing of jury videos regardless of the lighting conditions in the room. These projectors are used primarily for viewing the jury instructional videos, but are used by many groups utilizing the jury assembly room for outside training functions.

Steve Moynahan continued as Admissions Clerk until July 2001. Steve was responsible for scheduling the attorney admissions ceremonies for the district, as well as preparing the certificates. He also handled bar disciplinary matters and continued to provide the mathematical and technical review for CJA vouchers submitted for payment. Steve subsequently retired at the end of August 2001. From July through December, Debbie Scalfani covered the attorney admissions responsibilities, while Judy Litwin handled all of the CJA work involved in Steve's job. At the end of the year, Judy Litwin replaced Steve Moynahan in a restructured position. Judy assumed full responsibility for all CJA voucher processing and attorney admissions under the title of CJA Analayst. This position was then reorganized and placed under the supervision of the Projects Manager, Helen Costello.

	1997	1998	1999	2000	2001
Attorneys Admitted	955	1,007	985	723	748

The 2001 roster of official court reporters for the District of Massachusetts includes:

- ♦ Patricia Casey-Price
- ♦ Marie Cloonan
- ♦ Cheryl Dahlstrom (Worcester)
- ♦ James Gibbons
- ♦ Teri Gibson
- Harold Hagopian
- ♦ Lee Marzilli



- ♦ James McLaughlin
- ♦ Alice Moran (Springfield)
- ♦ Deborah Nemetz (Floater)
- ♦ Pamela Owens
- ♦ Jill Ruggieri
- ♦ Carol Scott
- ♦ Judith Twomey
- ♦ Donald Womack

During 2001, the official reporters logged a total of 6,840 hours in court and produced total transcript pages in excess of 266,547. In addition to the official reporters, contractual reporters were hired in approximately 173 instances.

Debbie Scalfani, the Court Reporter Supervisor, spent time reviewing and revising the Court Reporter Plan for the District with the assistance of several court reporters and Judge Saris (the liaison judge) in late 2000. The amended Plan for Effective and Fair Management of Official Court Reporters was approved by the judges on April 3, 2001.

In addition to her duties as Court Reporter Supervisor, Debbie Scalfani also coordinates the naturalization ceremonies held by the court.

During 2001, approximately 11,078 new citizens were sworn in by the judges of this court. Thirtytwo Judicial Ceremonies were held and twenty-nine of these were conducted at historic Faneuil Hall. On March 8, 2001, Chief Judge Young presided at the Westin Hotel in Boston to swear in a total of 285 Judge Lindsay presided over a new citizens. ceremony on April 4 at the Hynes Auditorium in Boston at which 874 new citizens were administered the oath of citizenship. Nineteen new citizens had a special July 4th celebration on the USS Constitution where Judge Wolf swore them in as new United States citizens. As indicated by the statistics, the number of citizens naturalized by the U.S. District Court increased by approximately 23.6% over the 2000 figure. However, this figure was still lower than the number of new citizens administered the oath in 1997.

	1997	1996	1995	2000	2001
Aliens Naturalized	11,680	9,907	10,797	8,959	11,078



EMPLOYEE RECOGNITION AWARDS

n Employee Recognition Awards
Program was developed and
implemented in July 1997 and
amended in 2001. The purpose of
the program "is to recognize outstanding
achievement by individuals, groups and
organizational units in the performance of their
duties; to improve morale and inspire greater
achievement; to promote organizational
identification and career commitment; and, to
encourage and reward innovative thinking."

To ensure that the Employee Recognition Awards Committee accurately reflects the makeup of the Clerk's Office, the Committee is composed of seven (7) members representing various sections of the court including court reporters and judicial secretaries. In addition, each manager will serve as the Chair of the committee on a rotating basis. Each year, a new set of volunteers is selected to serve on the Awards Committee to review the nominations submitted and make recommendations to the Clerk. This year's committee included Stu Barer, Chair of the Committee, and James Gibbons, Judy Litwin, Ben Bauer, Marty Castles, Mary Cummings and Bethaney Healy.

The Boston recipients of the 2001 Employee Recognition Awards were announced at the Employee Awards Ceremony held on June 26 followed by a barbeque on the East Lawn. Ceremonies and luncheons were also held in Springfield on July 30 and Worcester on September 6.

At the ceremonies, the recipients of cash awards were honored with a framed certificate, personnel selected for an honorary award received gift certificates, and members of the Clerk's Office staff who reached a milestone anniversary were acknowledged with the presentation of a pin to

commemorate their years of service to the government.

The 2001 Employee Recognition Award Recipients were:

♦ SPECIAL RECOGNITION AWARD - CASH AWARD

Individual:

- ♦ Liz French Courtroom Clerk for her outstanding work during the six month Kristen Gilbert trial.
- ♦ Tony Lardiere Customer Services Clerk for his willingness to jump into the demanding but temporary position as Judge Woodlock's docket clerk for six months.
- ♦ Marie Cloonan Court Reporter for arranging an all day seminar with a nationally renowned retirement benefits specialist for a group of court reporters from Massachusetts, Maine, Connecticut and New Hampshire.

Group:

- ♦ Jim McAlear and Jennifer Filo for their perseverance and determination in making the new Jury Management System (JMS) run smoothly.
- ♦ Cathy Gawlik, Karen Donovan, and Susan Tebo for their efforts when Judge Lindsay's courtroom clerk was on maternity leave for six



months.

◆ Zita Lovett, Robert Alba, Todd McGrath, Tom Quinn, Marty Castles, and Rebecca Greenberg for their assistance with the Courtroom Clerk Training Program.

♦ SUPERIOR PERFORMANCE AWARD - CASH AWARD

- ♦ Eric Schlupf Customer Services Clerk for the exceptional and invaluable assistance he provides in the Customer Services Section of the office.
- Mary Johnson Courtroom Clerk for her generous and unsolicited help in the Lindsay and O'Toole sessions.
- ♦ Robert Alba Courtroom Clerk for his contributions during our court's implementation of the CJA automated payment system and modification of the CJA Attorney Assignment System.
- ♦ Christine Karjel Financial Administrator for the numerous improvements that she has made to the financial section.

♦ LENGTH OF SERVICE AWARD

A pin, denoting the milestone reached in government service in 2001, was presented to the following employees:

- ♦ Five years Karen Donovan and Tony Lardiere
- ♦ Ten years Marie Bell, Marty

Castles, Bethaney Healy, Dianne Smith, and Lisa Urso

- ♦ Fifteen Years Carol Scott
- ♦ Twenty years Elaine Flaherty
- ♦ Twenty-five years Helen Costello
- ♦ Thirty years Mary Johnson

EMPLOYEE OF THE QUARTER

The first "Employee of the Quarter" was also announced during the awards ceremony in June 26. This non-cash award is granted to one employee at the start of each quarter (July 1, October 1, January 1 and April 1) who has demonstrated exemplary work performance during the preceding quarter. This award was added to the program as part of the 2001 amendments to the Employee Recognition Awards Program.

In addition to receiving a framed certificate, the employee of the quarter may choose from one fo the following (cost of tangible awards not to exceed \$150.00):

- A gift certificate to an area store or shopping mall
- Clerk's Office apparel
- One day administrative leave
- Parking pass for one month
- T-pass for a month

Mary Johnson, Courtroom Clerk, was the proud recipient of the first Employee of the Quarter Award for the period from April through June 2001.

Jeannette Ramos, Appeals Clerk, was designated as Employee of the Quarter for July through September and Karen Folan received this award for the October through December quarter of 2001.



Chief Judge Young and Tony Anastas presenting awards.



Tom Quinn, Robert Alba, Zita Lovett and Rebecca Greenberg, Courtroom Clerks after receiving group award.



Jim McAlear and Jennifer Filo after receipt of group award for work in the jury section.



VOLUNTEER/COMMUNITY ACTIVITIES

uring the course of 2001, many members of the court staff participated in volunteer and fund raising activities which required efforts beyond their normal work activities.

- ♦ The arrival of spring was celebrated by the 3rd Annual Daffodil Days campaign for the benefit of the American Cancer Society. The tenants of the U.S. Courthouse participated in this event and raised over \$5500 to help fight the spread of cancer. The Clerk's Office was the highest contributor among the other agencies in the building.
- ♦ On April 18, 2001 the U.S. Attorney's Office in conjunction with the Clerk's Office and other federal agencies located in the courthouse sponsored the 7th annual "Take Our Daughters and Sons to Work Day". The Court family played host to approximately 100 children who were relatives and friends of employees. The day began with breakfast for the kids in the atrium outside the jury lounge and included a number of varied events such as a mock trial, wildlife demonstrations, and tours of the cell block in the Marshal's Office. The event was well attended and enjoyed by both children and adults.
- ♦ The Clerk's Office, in conjunction with Massachusetts General Hospital, and on behalf of the Federal Court Clerk's Association sponsored two blood drives

- during 2001 on July 18 and October 26. There were 115 pints of blood donated during these two drives. Of course, as in the past, our resident vampire, George Howarth, reminded and encouraged all to participate in these blood drives. Mary Cummings and Ginny Hurley did all of the coordinating and scheduling for these very successful events.
- Through the efforts of Marilyn Bond and the generosity of about 14 employees, a donation totaling approximately \$4,000 was made to the Combined Federal Campaign. Twenty-five employees also donated 430 hours to the Leave Share Program for federal court employees throughout the United States. Leave is donated to federal employees who are in need of additional leave for medical purposes.
- The Clerk's Office also displayed the Holiday Spirit and a great deal of generosity by participating in two programs for donations of gifts to the less advantaged during 2001. A great many toys were collected for the Boston Community Centers' Family Toy Drive, as well as toys, clothing and gift certificates for the Adopt a Family program sponsored by Goodwill. A 21 year old single mother and her two young children were selected as our family by Goodwill.



In concluding this report for 2001, I would like to thank all of the judges, managers and staff members who contributed information, ideas and suggestions for this annual account of activity in the District of Massachusetts. I deeply appreciate the support and assistance provided by the Chief Judge, Clerk and the managers in writing and editing various sections of the report and I look forward to the challenges and opportunities that lie ahead.

Helen M. Costello Projects Manager

United States District Court Suite 2300 John Joseph Moakley United States Courthouse 1 Courthouse Way Boston, Massachusetts 02210

(617) 748-4428

